

S/N 09/400,607

Receipt
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ty J. Caswell et al.
Serial No.: 09/400,607
Filed: September 20, 1999
Title: COMPUTER-BASED MULTIFUNCTION PERSONAL COMMUNICATION SYSTEM WITH CALLER ID

Examiner: Unknown
Group Art Unit: 2732
Docket: 400.081US2

FEB 28 2000
PATENT & TRADEMARK OFFICE

COMMUNICATION RE: INCORRECT FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Applicants hereby request correction of the Filing Receipt with respect to the above-identified patent application. In the Filing Receipt received October 21, 1999, (copy enclosed), the Continuing Data is incorrect. The Filing Receipt should read, *This application is a Continuation of U.S. Application Serial No. 08/338,340 filed November 10, 1994 which is a Continuation-in-part of U.S. Application Serial No. 08/002,467, now U.S. Patent No. 5,452,289.* This is evidenced by the Preliminary Amendment as filed (copy enclosed).

RECEIVED
MAR 28 2000
TC 2700 MAIL ROOM

Applicants would appreciate the above-identified printing error be corrected and that a new "corrected" filing receipt be sent to Applicants' representatives at the address given below.

Respectfully submitted,

TY J. CASWELL ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6912

Date Feb 22, 2000

By Timothy E. Bianchi

Timothy E. Bianchi
Reg. No. 39,610
TEB:CMG:ajm

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on Feb. 22, 2000 (Date of Deposit)

Timothy E. Bianchi
Timothy E. Bianchi

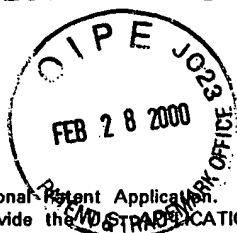
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/400,607	09/20/99	2732	\$0.00	400.081US2	33	13	3

021186
SCHWEGMAN LUNDBERG WOESSNER & KLUTH
P O BOX 2938
MINNEAPOLIS MN 55402



DEC. 20, 1999 - 165 DWE

RECEIVED
MAR 20 1999
TC 2700

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) TY J. CASWELL.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/002,467 01/08/93 PAT 5,452,289

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/07/99 ** SMALL ENTITY **
TITLE
COMPUTER-BASED MULTIFUNCTIONAL PERSONAL COMMUNICATION SYSTEM WITH
CALLER ID

PRELIMINARY CLASS: 370

Schwegman, Lundberg,
Woessner & Kluth, P.A.

OCT 21 1999

RECEIVED

DATA ENTRY BY: WHITE, JACKIE

TEAM: 03 DATE: 10/07/99

|||||

(See reverse for new important information)

S/N Unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ty J. Caswell et al.

Examiner: Unknown

Serial No.: Unknown

Group Art Unit: Unknown

Filed: Herewith

Docket: 400.081US2

Title: COMPUTER-BASED MULTIFUNCTION PERSONAL COMMUNICATION
SYSTEM WITH CALLER ID

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to taking up the above-identified patent application for review, please amend the application as follows:

IN THE SPECIFICATION

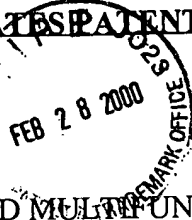
Please amend the specification as follows:

In the first line after the title, please insert the following, ---This application is a Continuation of U.S. Application Serial No. 08/338,340 filed November 10, 1994 which is a Continuation-in-part of U.S. Application Serial No. 08/002,467, now U.S. Patent No. 5,452,289.--]

IN THE CLAIMS

Please cancel claims 1-6.

RECEIVED
MAR 28 2000
TC 2700 MAIL ROOM



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ty J. Caswell et al.

FEB 28 2000

Title: COMPUTER-BASED MULTIFUNCTION PERSONAL COMMUNICATION SYSTEM WITH
CALLER ID

Docket No.: 400.081US2

Serial No.: 09/400,607

Filed: September 20, 1999

Due Date: N/A

Examiner: Unknown

Group Art Unit: 2732

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
MAR 28 2000
TC 2700 MAIL ROOM

We are transmitting herewith the attached:

- ☒ Communication Re: Incorrect Filing Receipt (1 pg.)
- ☒ Copy of Filing Receipt (1 pg.)
- ☒ A return postcard.
- ☒ A copy of the Preliminary Amendment that was filed with the Application (1pg).

No Additional fee is required.

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 22 day of February, 2000.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Timothy E. Bianchi
Name: Timothy E. Bianchi
Reg. No. 39,610
TEB:CMG:ajm

FILE COPY

Bib Data Sheet

**UNITED STATES DEPARTMENT OF
COMMERCE
Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER 09/400,607	FILING DATE 09/20/1999 RULE -	CLASS 370	GROUP ART UNIT 2732	ATTORNEY DOCKET NO. 400.081US2
------------------------------------	---	---------------------	-------------------------------	--

APPLICANTS
TY J. CASWELL, Residence Not Provided;

**** CONTINUING DATA ******* *yes*
THIS APPLICATION IS A CON OF 08/338,340 11/10/1994 PAT 6,009,082 *8/2/2000 F.D.*
WHICH IS A CIP OF 08/002,467 01/08/1993 PAT 5,452,289 *8/2/2000 F.D.*

**** FOREIGN APPLICATIONS ******* *None*
F.D.

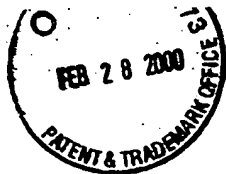
IF REQUIRED, FOREIGN FILING LICENSE **** SMALL ENTITY ****
GRANTED ** 10/07/1999

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY -	SHEETS DRAWING 33	TOTAL CLAIMS 13	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance <i>DDM</i> <i>F.D.</i>				
Verified and Acknowledged	Examiner's Signature	Initials		

ADDRESS
SCHWEGMAN LUNDBERG WOESSNER & KLUTH
P O BOX 2938
MINNEAPOLIS, MN 55402

TITLE
COMPUTER-BASED MULTIFUNCTIONAL PERSONAL COMMUNICATION SYSTEM WITH CALLER ID

FILING FEE RECEIVED 523	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/400,607 09/20/99 CASWELL T 400,081US2

021186 0232/0110

SCHWEGMAN LUNDBERG WOESSNER & KLUTH

NOT ASSIGNED

P O BOX 2938

MINNEAPOLIS MN 55402

2732

DATE MAILED:

01/10/00

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

Handwritten: MAR 10, 2000 & Anti 10, 2000
If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 0.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☒ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Handwritten signature
Customer Service Center
Initial Patent Examination Division (703) 308-1202

Best Available Copy

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section #
03co/

Applicant: Ty J. Caswell et al.

Title: COMPUTER-BASED MULTIFUNCTION PERSONAL COMMUNICATION SYSTEM WITH
CALLER ID

Docket No.: 400.081US2
Filed: September 20, 1999
Examiner: Unknown



Serial No.: 09/400,607
Due Date: March 10, 2000
Group Art Unit: 2732

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

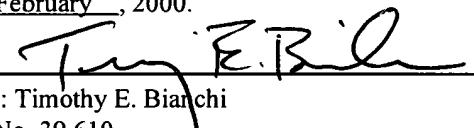
We are transmitting herewith the attached:

- ☒ Form PTO-1533 (1pg.)
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A copy of the signed Combined Declaration and Power of Attorney from the prior application (4 pgs).
- ☒ A return postcard.

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

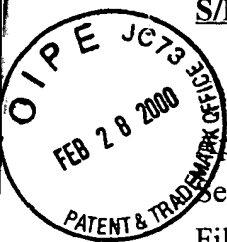
CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 22 day of February, 2000.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: 
Name: Timothy E. Bianchi
Reg. No. 39,610
TEB:CMG:ajm

S/N 09/400,607

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ty J. Caswell et al. Examiner: Unknown
Serial No.: 09/400,607 Group Art Unit: 2732
Filed: September 20, 1999 Docket: 400.081US2
Title: COMPUTER-BASED MULTIFUNCTION PERSONAL COMMUNICATION
SYSTEM WITH CALLER ID

COMMUNICATION RE: MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit a copy of the signed Declaration and Power of Attorney that was filed in the prior application.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6912.

Respectfully submitted,

TY J. CASWELL ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6912

Date Feb 22, 2000 By Timothy E. Bianchi
Timothy E. Bianchi
Reg. No. 39,610
TEB:CMG:ajm

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on Feb. 22, 2000.
(Date of Deposit)

Timothy E. Bianchi
Timothy E. Bianchi